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Patent and Trademark Office**

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OCTOBER 29, 2001

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\*101825831A\*

MONTY L. ROSS  
2200 ROSS AVENUE  
SUITE 2200  
DALLAS, TX 75201-6776

RECEIVED  
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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/15/2001

REEL/FRAME: 012101/0458  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PEARCE, ROBERT CLARENCE III

DOC DATE: 08/15/2001

ASSIGNOR:

KIPLINGER, DALE VILMER

DOC DATE: 08/15/2001

ASSIGNEE:

NCH CORPORATION  
2727 CHEMSEARCH BLVD.  
IRVING, TEXAS 75062

SERIAL NUMBER: 09930661  
PATENT NUMBER:

FILING DATE: 08/15/2001  
ISSUE DATE:

SHAREILL COLES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

08-28-2001

Form PTO-1595

(Rev. 03/01)

REC

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

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101825831

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Robert Clarence Pearce, III  
Dale Vilmer Kiplinger

08/15/01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: NCH Corporation

Internal Address: \_\_\_\_\_

Street Address: 2727 Chemsearch Blvd.City: Irving State: TX Zip: 75062Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_Execution Date: August 15, 2001

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 08/15/01

A. Patent Application No.(s)

09/930661

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Monty L. Ross

Internal Address: \_\_\_\_\_

Street Address: 2200 Ross Avenue,Suite 2200City: Dallas State: TX Zip: 75201-67766. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

12-1781

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Monty L. Ross

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 4Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231Jc79 U.S. PTO  
09/930661

08/15/01

## ASSIGNMENT

WHEREAS, We, ROBERT CLARENCE PEARCE, III, a citizen of the United States of America and a resident of Arlington, Texas, and DALE VILMER KIPLINGER, a citizen of the United States of America and a resident of Carrollton, Texas, have invented certain new and useful improvements in a "CONTROL AGENT DELIVERY SYSTEM" for which we have made application for United States Letters Patent, the said application having been executed and filed concurrently herewith; and

WHEREAS, NCH CORPORATION, a corporation of the State of Delaware, having offices at 2727 Chemsearch Boulevard, Irving, Texas 75062 (hereinafter referred to as "ASSIGNEE"), desires to acquire our entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest in and to said invention and in and to said application and all patents which may be granted therefor, and provisionals and any application converted from a provisional, and all divisions, reissues, substitutions, continuations, continuations-in-part and extensions thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as our interest is concerned, to the said ASSIGNEE of our entire right, title and interest.

We also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and we further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

We hereby further agree that we will communicate to said ASSIGNEE, or to its successors, assigns and legal representatives, any facts known to me respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

EXECUTED this 15th day of August, 2001.

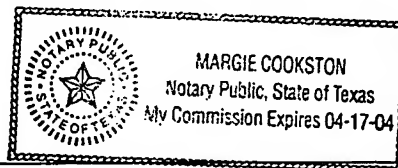
  
ROBERT CLARENCE PEARCE, III

STATE OF TEXAS           §  
                                     §  
COUNTY OF Dallas   §

On this 15th day of August, 2001, before me, a Notary Public in and for the State and County aforesaid, personally appeared ROBERT CLARENCE PEARCE, III, known by me to be the person of the above name, who signed the foregoing instrument, and acknowledged the same to be his own free act and deed.

  
Notary Public

My Commission Expires: 04-17-04



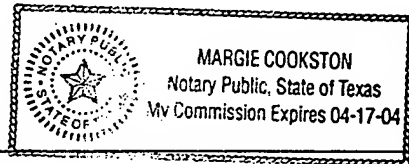
*Dale V. Kiplinger*  
DALE VILMER KIPLINGER

STATE OF TEXAS           §  
                                     §  
COUNTY OF Dallas     §

On this 15th day of August, 2001, before me, a Notary Public in and for the State and County aforesaid, personally appeared DALE VILMER KIPLINGER, known by me to be the person of the above name, who signed the foregoing instrument, and acknowledged the same to be his own free act and deed.

*Margie Cookston*  
Notary Public

My Commission Expires: 04-17-04



65448/77784  
(P00171US1)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. : 09/930,661 Confirmation No. 3996  
Applicant : Robert Clarence Pearce III  
Filed : 08/15/2001  
TC/Art Unit : 3644  
Examiner : Zerr, John W.  
For : CONTROL AGENT DELIVERY SYSTEM  
Docket No. : 65448 / 77784

**Via First Class Mail**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that the papers enclosed herein are being deposited with the United States Postal Service as First Class Mail, with postage prepaid, on the date set forth below in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Mailing

Signature

Date of Signature

Monty L. Ross, Registration No. 28,899  
Attorney for Applicant

Dear Sir:

SUBSTITUTE DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

1. My residence, post office address and citizenship are as stated below next to my name;
2. I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention, design or discovery entitled:

## CONTROL AGENT DELIVERY SYSTEM

for which U.S. patent application Ser. No. 09/930,661 was filed on August 15, 2001 and which has been subsequently amended during prosecution through and including the Examiner's Amendment mailed August 12, 2003.

3. I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by the amendments referred to above;
4. I acknowledge the duty to disclose to the Office all information known to me to be material to the patentability of this application as defined by Title 37, Code of Federal Regulations, §1.56;
5. I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

NONE

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

NONE

6. I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in §1.56 which became available between the filing date of any prior application(s) and the national or PCT international filing date of this application:

NONE

7. I hereby appoint Monty L. Ross of the firm of LOCKE LIDDELL & SAPP LLP, my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and to file and prosecute any international patent application filed thereon before any international authorities under the Patent Cooperation Treaty;

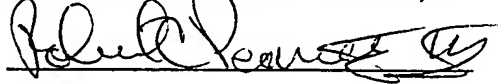
Send correspondence to:

Monty L. Ross, Esq.  
LOCKE LIDDELL & SAPP LLP  
2200 Ross Avenue, Suite 2200  
Dallas, Texas 75201-6776  
(214) 740-8519  
(214) 740-8800 (fax)

8. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of Inventor: ROBERT CLARENCE PEARCE, III

Inventor's Signature:



Date:

19 August 2003

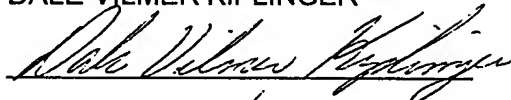
Residence: Arlington, Texas

Post Office: 3218 Kenilworth Drive  
Arlington, TX 76001

Citizenship: United States of America

Full name of Inventor: DALE VILMER KIPLINGER

Inventor's Signature:



Date:

19 August 2003

Residence: Carrollton, TX

Post Office (if different): 3104 Chestnut Road  
Carrollton, TX 75007

Citizenship: United States of America



### DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

1. My residence, post office address and citizenship are as stated below next to my name;
2. I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention, design or discovery entitled:

#### CONTROL AGENT DELIVERY SYSTEM

3. I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above;
4. I acknowledge the duty to disclose to the Office all information known to me to be material to the patentability of this application as defined by Title 37, Code of Federal Regulations, §1.56;
5. I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

NONE

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

NONE

6. I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in §1.56 which

became available between the filing date of any prior application(s) and the national or PCT international filing date of this application:

U.S. Application Serial No. 09/457,899, filed December 9, 1999

7. I hereby appoint Monty L. Ross of the firm of LOCKE LIDDELL & SAPP LLP, my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and to file and prosecute any international patent application filed thereon before any international authorities under the Patent Cooperation Treaty;

Send correspondence to:

Monty L. Ross, Esq.  
LOCKE LIDDELL & SAPP LLP  
2200 Ross Avenue, Suite 2200  
Dallas, Texas 75201-6776  
(214) 740-8519  
(214) 740-8800 (fax)

8. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of Inventor: ROBERT CLARENCE PEARCE, III

Inventor's Signature:



Date:

15 Aug 2001

Residence: Arlington, Texas

Post Office: 3218 Kenilworth Drive  
Arlington, TX 76001

Citizenship: United States of America

Full name of Inventor: DALE VILMER KIPLINGER  
Inventor's Signature: *Dale V. Kiplinger*  
Date: 8/15/01  
Residence: Carrollton, TX  
Post Office (if different): 3104 Chestnut Road  
Carrollton, TX 75007  
Citizenship: United States of America